



Attorney's Docket No.: 6570P041

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Nikolai G. Nikolov, et al.

Serial No: 10/749,617

Filed: December 30, 2003

For: Execution Of Modified ByteCode
For Debugging, Testing And/Or
Monitoring Of Object Oriented
Software

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Examiner: Dao, Thuy Chan

Art Unit: 2192

Confirmation No.: 9855

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

April 6, 2007

(Date of Deposit)

Carla Vignola

(Printed name)

(Signature)

(Date)

INFORMATION DISCLOSURE STATEMENT AND NOTICE OF OTHER PENDING APPLICATION(S)

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Submission of the enclosed information is not to be construed as an admission that the enclosed information is material to patentability of the present application or that the claims of the present application and claims of the application(s) associated with the enclosed information are not patentably distinct.

Applicant would like to bring to the Examiner's attention the following co-pending patent application(s), which is/are assigned to the assignee of the present application, and which may be relevant to the present application:

The Applicant would like to bring to the Examiners attention an Office Action received in the following related co-pending applications, U.S. Patent Application No. 10/750,067, entitled, "BYTE CODE MODIFICATION FOR TESTING, DEBUGGING AND/OR MONITORING OF VIRTUAL MACHINE BASED SOFTWARE," filed on December 30, 2003 and U.S. Patent Application No. 10/750,396, entitled, "CLASSFILE CONVERSION INTO AN ORGANIZATION OF OBJECTS, AND MODIFICATIONS THEREOF, TO EFFECT BYTECODE MODIFICATION," filed on December 30, 2003. Applicant has provided the Examiner with a copy of the related Office Action and Notice of Allowance, claims as they stood in the related application prior to the mailing of the Office Action, a copy of the claims as they were presented to the PTO in response to the Office Action and a copy of the allowed claims.

Applicants respectfully request that the above-listed items not be printed on the face of any patent which may issue from the present application.

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability or constitutes prior art with respect to the present invention.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

- ☒ 37 C.F.R. §1.97(b).
- ☐ 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:
 - ☐ A statement pursuant to 37 C.F.R. §1.97(e) or
 - ☐ A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
- ☐ 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:
 - (1) A statement pursuant to 37 C.F.R. §1.97(e); and
 - (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

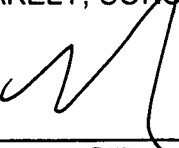
If there are any additional charges, please charge Deposit Account No. 02-

2666.

Respectfully submitted,
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: _____

4/16/07



Robert B. O'Rourke
Reg. No. 46,972

12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025
(408) 720-8300

Substitute for Form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Application Number	10/749,617
Filing Date	12-30-03
First Named Inventor:	Nikolai G. Nikolov
Art Unit	2192
Examiner Name	Dao, Thuy Chan
Attorney Docket Number	6570P041

 Sheet 1 of 3
U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (If known)				
		us-	6,026,237	02-15-2000	Berry, et al.	
		us-	6,381,735	04-30-2002	Hunt	
		us-	5,748,963	05-05-1998	Orr	
		us-	6,118,940	09-12-2000	Alexander, et al.	
		us-	6,662,359	02-17-2005	Berry, et al.	
		us-	6,631,515	10-07-2003	Berstis	
		us-				
		us-				
		us-				
		us-				
		us-				
		us-				
		us-				
		us-				
		us-				
		us-				

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴ Kind Code ⁵ (if known)				

 Examiner
Signature

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS.
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/749,617
				Filing Date	12-30-03
				First Named Inventor:	Nikolai G. Nikolov
				Art Unit	2192
				Examiner Name	Dao, Thuy Chan
Sheet	2	of	3	Attorney Docket Number	6570P041
NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No ¹	www.w3.org/TR/DOM-Level-2-Core/introduction.html <u>What is Document Object Model?</u> , 13 November 2000, pp. 1-7.			T ²
		www.cafeonleche.org/books/xmljava/chapters/ch09.html Chapter 9, <u>The Document Object Model</u> , 2001, 2002, pp. 1-2.			
		www.cafeonleche.org/books/xmljava/chapters/ch09s04.html Chapter 9, <u>The document Object Model, Trees</u> , 2001, 2002, pp. 1-10.			
		www.cafeonleche.org/books/xmljava/chapters/ch09s05.html Chapter 9, <u>The Document Object Model DOM Parsers for Java</u> , 2001, 2002, pp. 1-3.			
		www.cafeonleche.org/books/xmljava/chapters/ch09x06.html Chapter 9, <u>The Document Object Model Parsing documents with a DOM Parser</u> , 2001, 2002, pp. 1-10.			
		www.cafeonleche.org/books/xmljava/chapters/ch09s09.html Chapter 9, <u>The Document Object Model JAXP Serialization</u> , 2001, 2002, pp. 1-3.			

Examiner Signature	Date Considered
-----------------------	--------------------

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English Translation is attached.
 This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.
 If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/749,617
				Filing Date	12-30-03
				First Named Inventor:	Nikolai G. Nikolov
				Art Unit	2192
				Examiner Name	Dao, Thuy Chan
Sheet	3	of	3	Attorney Docket Number	6570P041
RELATED PATENT APPLICATION INFORMATION					
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T ²
		NIKOLAI G. NIKOLOV, "BYTE CODE MODIFICATION FOR TESTING, DEBUGGING AND/OR MONITORING OF VIRTUAL MACHINE BASED SOFTWARE", Serial number 10/750,067, filed on December 30, 2003, Office Action mailed January 16, 2007. Copy of the Office Action and claims as they were amended in response to the office action and claims as they stood prior to response to office action.			
		NIKOLAI G. NIKOLOV, "CLASSFILE CONVERSION INTO AN ORGANIZATION OF OBJECTS, AND MODIFICATION THEREOF, TO EFFECT BYTECODE MODIFICATION", Serial number 10/750,396, filed on December 30, 2003, Notice of Allowance mailed January 3, 2007. Copy of the Office Action and claims as allowed.			

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

* Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English Translation is attached.
 This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.
 If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.